

SUPREME COURT, STATE OF COLORADO

2 East 14th Avenue
Denver, Colorado 80203

Original Proceeding
Pursuant to §1-40-107(2), C.R.S.
Appeal from the Ballot Title Board

In the Matter of the Title, Ballot Title, and Submission Clause
for Proposed Initiative 2023-2024 #274 (“Disclosure of
Adverse Medical Incidents”)

Petitioner: Alethia Morgan

v.

Respondents: Julie Whitacre and Alyssa Davenport

and

Title Board: Theresa Conley, Jeremiah Barry, and Kurt
Morrison

▲ COURT USE ONLY ▲

ATTORNEYS FOR PETITIONER:

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Supreme Court Case No.:

**PETITION FOR REVIEW OF FINAL ACTION OF BALLOT TITLE SETTING
BOARD CONCERNING PROPOSED INITIATIVE 2023-2024 #274 (“DISCLOSURE
OF ADVERSE MEDICAL INCIDENTS”)**

Alethia Morgan (“Petitioner”), registered elector of the State of Colorado, through counsel, IRELAND STAPLETON PRYOR & PASCOE, PC, respectfully petitions this Court pursuant to C.R.S. § 1-40-107(2) to review the actions of the Title Setting Board with respect to the title, ballot title, and submission clause set for Initiative 2023-2024 #274.

I. STATEMENT OF THE CASE

A. Procedural History of Proposed Initiative 2023-2024 #274

Julie Whitacre and Alyssa Davenport (“Proponents”) proposed Initiative 2023-2024 #274 (the “Proposed Initiative”). Review and comment hearings were held before representatives of the Offices of Legislative Council and Legislative Legal Services. Thereafter, Proponents submitted amended and final versions of the Proposed Initiative to the Secretary of State for purposes of submission to the Title Board.

A Title Board hearing was held on April 17, 2024, at which time a title was set for the Proposed Initiative. On April 24, 2024, Petitioner filed a Motion for Rehearing, alleging that the Proposed Initiative contained multiple subjects and that its titles were unfair, inaccurate, and incomplete. The rehearing was held on April 26, 2024, at which time the Title Board made limited changes to the titles but otherwise denied the Motion for Rehearing.

B. Jurisdiction

Petitioner is entitled to a review before the Colorado Supreme Court pursuant to C.R.S. § 1-40-107(2). Petitioner timely filed her Motion for Rehearing with the Title Board. *See* C.R.S. § 1-40-107(1). Additionally, Petitioner timely filed this Petition for Review within seven days from the date of the rehearing. C.R.S. § 1-40-107(2).

As required by C.R.S. § 1-40-107(2), attached to this Petition for Review are certified copies of: (1) the initiative filed by Proponents; (2) the original ballot titles set for this initiative; (3) the Motion for Rehearing filed by Petitioner; and (4) the rulings on the Motion for Rehearing as reflected by the titles and ballot title and submission clauses set by the Title Board. Petitioner believes the Title Board erred in denying the Motion for Rehearing as to the single subject issue, or alternatively on clear title grounds, and therefore this matter is properly before the Court.

II. GROUNDS FOR APPEAL

The titles set by the Title Board do not comply with the single subject requirement set forth in article V, § 1(5.5) of the Colorado Constitution. Alternatively, the titles set violate clear title requirements. The following is an advisory list of issues to be addressed on appeal.

1. Whether the Proposed Initiative violates the single subject requirement when, in cases of so-called catastrophic injury, the measure, among other things: (a) eliminates healthcare providers' longstanding quality management and peer review privileges, which were established by separate statutes for different reasons; (b) modifies the privileges afforded to healthcare providers under the Colorado Candor Act at C.R.S. § 25-51-101, *et seq.*; and (c) overrides the physician-patient privilege.
2. If the Title Board had jurisdiction to set a title, whether the titles violate the clear-title requirements because they: (a) inaccurately characterize the measure as expanding patients' access to their own medical records, when the central purpose of the measure is to require healthcare providers to disclose their own internal records and information that have historically been privileged; and (b) fails to identify the privilege and confidentiality laws that are overridden by the measure and that disclosure of such information will be required as opposed to "allowed".

III. CONCLUSION

WHEREFORE, Petitioner respectfully request that this Court reverse the Title Board setting for Initiative 2023-2024 #274 because it violates the single subject requirement. In the alternative, Petitioner respectfully requests that the Court direct

the Title Board to correct the titles to address the deficiencies outlined in Petitioner's pleadings.

Respectfully submitted this 3rd day of May, 2024.

IRELAND STAPLETON PRYOR & PASCOE, PC

/s/ Benjamin J. Larson
Benjamin J. Larson, #42540
William A. Hobbs, #7753
A. Thomas Downey, #29490
ATTORNEYS FOR PETITIONER

CERTIFICATE OF SERVICE

I hereby certify that on this 3rd day of May, 2024, a true and correct copy of the foregoing **PETITION FOR REVIEW OF FINAL ACTION OF BALLOT TITLE SETTING BOARD CONCERNING PROPOSED INITIATIVE 2023-2024 #274 (“DISCLOSURE OF ADVERSE MEDICAL INCIDENTS”)** was duly filed with the Court and served via CCEF and email upon the following:

Julie Whitacre and Alyssa Davenport
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/s/ Tanya S. Mundy
Tanya S. Mundy



DATE FILED: May 3, 2024 10:53 AM

STATE OF COLORADO

DEPARTMENT OF
STATE

CERTIFICATE

I, **JENA GRISWOLD**, Secretary of State of the State of Colorado, do hereby certify that:

the attached are true and exact copies of the filed text, fiscal summary, motion for rehearing, and the rulings thereon of the Title Board for Proposed Initiative "2023-2024 #274 'Disclosure of Adverse Medical Incidents'".

.....**IN TESTIMONY WHEREOF** I have unto set my hand
and affixed the Great Seal of the State of Colorado,
at the City of Denver this 30th day of April, 2024.

Jena Griswold

SECRETARY OF STATE



Be it enacted by the People of the State of Colorado:

SECTION 1. In Colorado Revised Statutes, **add** 25-1-804 as follows:

25-1-804. Disclosure of adverse medical incidents to patients - definitions. (1) IN ADDITION TO ANY OTHER SIMILAR RIGHTS PROVIDED IN LAW, A PATIENT, THE PATIENT'S REPRESENTATIVE, INCLUDING A PARENT OF A MINOR CHILD, OR THE PATIENT'S LEGAL REPRESENTATIVE HAS A RIGHT TO ACCESS, INCLUDING INSPECTION AND COPYING, UPON REQUEST, ANY MEDICAL RECORD, MEDICAL INFORMATION, OR MEDICAL COMMUNICATION MADE OR RECEIVED IN THE COURSE OF MEDICAL TREATMENT, WHETHER PRIOR OR ONGOING MEDICAL TREATMENT, OF THE PATIENT BY A HEALTH-CARE INSTITUTION OR HEALTH-CARE PROFESSIONAL RELATING TO ANY ALLEGED ADVERSE MEDICAL INCIDENT THAT WAS A CAUSE OF INJURY TO OR THE DEATH OF THE PATIENT.

(2) AS USED IN THIS SECTION, UNLESS THE CONTEXT OTHERWISE REQUIRES:

(a) "HEALTH-CARE INSTITUTION" HAS THE SAME MEANING AS PROVIDED IN SECTION 13-64-202, AND ADDITIONALLY INCLUDES ANY FACILITY LICENSED OR CERTIFIED BY THE DEPARTMENT OF PUBLIC HEALTH AND ENVIRONMENT PURSUANT TO SECTION 25-1.5-103 AND APPLIED IN SECTION 25-3-109.

(b) "HEALTH-CARE PROFESSIONAL" HAS THE SAME MEANING AS PROVIDED IN SECTION 13-64-202, AND ADDITIONALLY INCLUDES THE TERM HEALTH-CARE PROVIDER, AS USED IN THIS SECTION.

(c) "PATIENT" MEANS AN INDIVIDUAL WHO HAS SOUGHT, IS SEEKING, IS UNDERGOING, OR HAS UNDERGONE CARE OR TREATMENT IN A HEALTH-CARE INSTITUTION OR FROM A HEALTH-CARE PROFESSIONAL.

(d) "ADVERSE MEDICAL INCIDENT" MEANS MEDICAL NEGLIGENCE, BREACH OF THE PROFESSIONAL STANDARD OF CARE, INTENTIONAL MISCONDUCT, AND ANY OTHER ACT, NEGLECT, OR DEFAULT OF A HEALTH-CARE INSTITUTION OR HEALTH-CARE PROFESSIONAL OCCURRING IN THE COURSE OF DELIVERING MEDICAL TREATMENT THAT WAS A CAUSE OF INJURY TO OR THE DEATH OF THE PATIENT, INCLUDING THOSE INCIDENTS THAT ARE REQUIRED BY STATE OR FEDERAL LAW TO BE REPORTED TO ANY GOVERNMENTAL AGENCY OR BODY, AND INCIDENTS THAT ARE REPORTED TO OR REVIEWED BY ANY HEALTH-CARE INSTITUTION OR HEALTH-CARE PROFESSIONAL THROUGH A PEER REVIEW, RISK MANAGEMENT, QUALITY ASSURANCE, QUALITY MANAGEMENT, CREDENTIALING, OR SIMILAR COMMITTEE, GROUPS, OR INDIVIDUALS THAT REVIEW ADVERSE MEDICAL INCIDENTS, OCCURRENCES, "NEAR MISSES", OR OTHER INCIDENTS RELATED TO INJURIES TO PATIENTS IN THE COURSE OF RECEIVING MEDICAL TREATMENT, INCLUDING SUCH INFORMATION OR DOCUMENTS REPORTED TO OR REVIEWED BY ANY REPRESENTATIVE OF ANY SUCH COMMITTEES, EVEN IF NOT FORMALLY CONVENED, INCLUDING THOSE COMMITTEES ADDRESSED IN SECTIONS 12-30-204 AND 12-30-205.

(e) "MEDICAL RECORD" HAS THE SAME MEANING AS PROVIDED IN SECTION 18-4-412(2)(a), AND ADDITIONALLY INCLUDES ANY MEDICAL RECORDS AND DRAFT RECORDS PERTAINING TO ANY TREATMENT BY ANY LICENSED HEALTH-CARE PROFESSIONAL, INCLUDING, BUT NOT LIMITED TO, CHIROPRACTORS, MASSAGE THERAPISTS, PHYSICAL THERAPISTS, DENTAL ASSISTANTS, DENTAL HYGIENISTS, NURSES, AND PHYSICIAN ASSISTANTS. MEDICAL RECORD DOES NOT INCLUDE DOCUMENTS OR STATEMENTS PROTECTED BY THE ATTORNEY-CLIENT PRIVILEGE, ATTORNEY WORK PRODUCT DOCTRINE, OR DOCUMENTS, STATEMENTS, OR COMMUNICATIONS CREATED DURING OR OCCURRING DURING AN INITIATED OPEN DISCUSSION AS DEFINED IN SECTION 25-51-102 (4).

(f) “MEDICAL INFORMATION” HAS THE SAME MEANING AS PROVIDED IN SECTION 18-4-412(2)(b), AND ADDITIONALLY INCLUDES INFORMATION ABOUT THE REQUESTING PATIENT’S ADVERSE MEDICAL INCIDENT, EVEN IF NOT PROTECTED BY THE PHYSICIAN-PATIENT PRIVILEGE, INCLUDING INFORMATION CREATED BY A PHYSICIAN, OTHER LICENSED HEALTH-CARE PROFESSIONAL, OR HEALTH-CARE INSTITUTION STAFF, MANAGEMENT, EXECUTIVE STAFF, OR CORPORATE DIRECTORS, INCLUDING, BUT NOT LIMITED TO, AUDIT TRAILS, TEXT MESSAGES, MESSAGES ON ANY MESSAGING SYSTEM, ELECTRONIC MAIL COMMUNICATIONS, OTHER ELECTRONIC COMMUNICATIONS, AND HANDWRITTEN DOCUMENTS. MEDICAL INFORMATION DOES NOT INCLUDE DOCUMENTS OR STATEMENTS PROTECTED BY THE ATTORNEY-CLIENT PRIVILEGE, ATTORNEY WORK PRODUCT DOCTRINE, OR DOCUMENTS, STATEMENTS, OR COMMUNICATIONS CREATED DURING OR OCCURRING DURING AN INITIATED OPEN DISCUSSION AS DEFINED IN SECTION 25-51-102 (4).

(g) “MEDICAL COMMUNICATION” MEANS CORRESPONDENCE TO OR FROM A HEALTH-CARE PROFESSIONAL OR HEALTH-CARE INSTITUTION SENT VIA UNITED STATES MAIL, PRIVATE COURIER, HAND-DELIVERY, FACSIMILE, ELECTRONIC MAIL, TEXT MESSAGE, MESSAGES ON ANY MESSAGING SYSTEM, OR ANY OTHER FORMAT THAT CONTAINS INFORMATION ABOUT THE REQUESTING PATIENT’S ADVERSE MEDICAL INCIDENT. MEDICAL COMMUNICATION DOES NOT INCLUDE DOCUMENTS OR STATEMENTS PROTECTED BY THE ATTORNEY-CLIENT PRIVILEGE, ATTORNEY WORK PRODUCT DOCTRINE, OR DOCUMENTS, STATEMENTS, OR COMMUNICATIONS CREATED DURING OR OCCURRING DURING AN INITIATED OPEN DISCUSSION AS DEFINED IN SECTION 25-51-102 (4).

SECTION 2. Effective date. This act takes effect on the date of the proclamation of the Governor announcing the approval, by the registered electors of the state, of the proposed initiative.

Ballot Title Setting Board

Proposed Initiative 2023-2024 #274¹

The title as designated and fixed by the Board is as follows:

A change to the Colorado Revised Statutes concerning expanding the right of a patient to access information related to an alleged adverse medical incident, and, in connection therewith, expanding patient access to medical records, information, or communications made or received by a physician, other licensed health-care professional, or health-care institution, including staff, management, board of directors, or a quality management committee about an act or omission that caused injury or death of the patient and excluding certain information that is privileged or confidential under Colorado or federal law.

The ballot title and submission clause as designated and fixed by the Board is as follows:

Shall there be a change to the Colorado Revised Statutes concerning expanding the right of a patient to access information related to an alleged adverse medical incident, and, in connection therewith, expanding patient access to medical records, information, or communications made or received by a physician, other licensed health-care professional, or health-care institution, including staff, management, board of directors, or a quality management committee about an act or omission that caused injury or death of the patient and excluding certain information that is privileged or confidential under Colorado or federal law?

Hearing April 18, 2024:

Single subject approved; staff draft amended; titles set (3-0).

Board members: Theresa Conley, Jeremiah Barry, Kurt Morrison

Hearing adjourned 10:38 A.M.

¹ Unofficially captioned “**Disclosure of Adverse Medical Incidents**” by legislative staff for tracking purposes. This caption is not part of the titles set by the Board.

Ballot Title Setting Board

Proposed Initiative 2023-2024 #274¹

The title as designated and fixed by the Board is as follows:

A change to the Colorado Revised Statutes concerning expanding patient access to information related to an alleged adverse medical incident, and, in connection therewith, expanding patient access to the patient's medical records, information, or communications made or received by a physician, other licensed health-care professional, peer review or quality management committee, or health-care institution, including staff, management, or board of directors about an act or omission that caused injury or death of the patient; and allowing the release of some previously privileged or confidential information.

The ballot title and submission clause as designated and fixed by the Board is as follows:

Shall there be a change to the Colorado Revised Statutes concerning expanding patient access to information related to an alleged adverse medical incident, and, in connection therewith, expanding patient access to the patient's medical records, information, or communications made or received by a physician, other licensed health-care professional, peer review or quality management committee, or health-care institution, including staff, management, or board of directors about an act or omission that caused injury or death of the patient; and allowing the release of some previously privileged or confidential information?

Hearing April 18, 2024:

Single subject approved; staff draft amended; titles set (3-0).

Board members: Theresa Conley, Jeremiah Barry, Kurt Morrison

Hearing adjourned 10:38 A.M.

Rehearing April 26, 2024

Motion for rehearing (Morgan) was granted only to the extent the Board made changes to the title (3-0).

Board members: Theresa Conley, Jeremiah Barry, Kurt Morrison

Hearing adjourned 10:30 A.M.

¹ Unofficially captioned “**Disclosure of Adverse Medical Incidents**” by legislative staff for tracking purposes. This caption is not part of the titles set by the Board.

COLORADO TITLE SETTING BOARD

IN THE MATTER OF THE TITLE, BALLOT TITLE, AND SUBMISSION CLAUSE FOR
INITIATIVE 2023-2024 #274

MOTION FOR REHEARING ON INITIATIVE 2023-2024 #274

Alethia Morgan (“Movant”), a registered elector of the City and County of Denver, Colorado, through counsel, Ireland Stapleton Pryor & Pascoe, PC, hereby files this Motion for Rehearing on Initiative 2023-2024 #274 (“Initiative #274”).

On April 17, 2024, the Title Board set the Title for Initiative #274 as follows:

A change to the Colorado Revised Statutes concerning expanding the right of a patient to access information related to an alleged adverse medical incident, and, in connection therewith, expanding patient access to medical records, information, or communications made or received by a physician, other licensed health-care professional, or health-care institution, including staff, management, board of directors, or a quality management committee about an act or omission that caused injury or death of the patient and excluding certain information that is privileged or confidential under Colorado or federal law.

I. Summary

Initiative #274 is a follow-on measure to Proponents’ prior measure, 2023-2024 #149, which the Board determined had multiple subjects. While Initiative #274 makes certain changes and carves out exceptions to the right to access medical records, it continues to mandate disclosure of a healthcare provider’s internal professional review and quality assurance records. Not only have these records never been considered a patient’s in the first place, they have long been privileged under Colorado law in order to safeguard the professional review process that ensures quality healthcare. Like #149, Initiative #274 also requires disclosure of medical information to a patient even if it contains a different patient’s medical information that is protected by the physician-patient privilege. Finally, Initiative #274 overrides Colorado’s Candor Act by mandating disclosure of documents and information that are otherwise privileged by the Candor Act.

All of these changes to Colorado law, which are identified nowhere in the title, are coiled within the folds of a measure that touts itself as an expansion of patient rights when Initiative #274 is actually aimed at eliminating long-held rights of healthcare providers. The Board should therefore reverse its single-subject finding. At a minimum, the title must be revised to reflect what Initiative #274 does.

II. Initiative #274 Violates the Single Subject Requirement.

A. The Title Board Must Sufficiently Examine Initiative #274 to Determine Whether It Has Multiple Subjects.

The Board has a duty to sufficiently examine and analyze the measure to “determine whether it contains incongruous or hidden purposes or bundles incongruous measures under a broad theme.” *In re Title & Ballot Title & Submission Clause for 2005-2006 #55*, 138 P.3d 273, 278–79 (Colo. 2006), *as modified on denial of reh’g* (June 26, 2006) (“[T]his court has repeatedly stated it will, when necessary, characterize a proposal sufficiently to enable review of the Board’s actions.”) (citing authorities). The rationale for this principle is that “[a]n evaluation of whether the component parts of a proposed initiative are connected and are germane to one another, so as to comprise one subject, simply cannot be undertaken in a vacuum.” *Id.* at 278, n.2 (quoting Justice Mullarkey’s concurrence in *In re Proposed Initiative on Parental Rights*, 913 P.2d 1127, 1134 (Colo.1996)).

Determining what a measure does is particularly important where the measure makes sweeping changes to existing law under the guise of a broad theme, thereby presenting risks of “logrolling”. See, e.g., *In re Title, Ballot Title & Submission Clause, for 2007-2008, #17*, 172 P.3d 871, 873 (Colo. 2007), *as modified on denial of reh’g* (Dec. 17, 2007) (analyzing measure creating an environmental conservation department to determine that the measure also created a “public trust standard”, which was a second subject); *In re Title, Ballot Title, Submission Clause for 2009-2010 No. 91*, 235 P.3d 1071, 1080 (Colo. 2010) (analyzing measure with the broad stated purpose “to protect and preserve the waters of this state” to determine the measure had distinct purposes embedded within it).

B. Eliminating Long-Standing Professional and Peer Review Privileges Is a Separate Subject.

Peer review is defined as “a basic component of a quality assurance program in which the results of health care given to a specific patient population are evaluated according to health-wellness outcome criteria established by peers of the professionals delivering the care Review by peer groups is promoted by professional organizations as a means of maintaining standards of care. Retrospective review critically evaluates the results of work that has been completed; it is done for purposes of improving future practice.”¹

Colorado has codified peer and professional review privileges in various statutes. For instance, the Colorado Professional Review Act provides protections and privileges for state-sanctioned professional review boards to review the quality of care of licensed healthcare professionals. C.R.S. § 12-30-204(11)(a) (providing that “the records of an authorized entity, its professional review committee, and its governing board are not subject to subpoena or discovery and are not admissible in any civil suit”). The records subject to protection include, for example: interview transcripts, statements, reports, memoranda, and progress reports developed to assist in professional review activities. *Id.* at § 202(8).

¹ Miller-Keane Encyclopedia and Dictionary of Medicine, Nursing, and Allied Health, Seventh Edition (*available at <https://medical-dictionary.thefreedictionary.com/peer+review>*).

Likewise, the Colorado Quality Management statute generally provides:

[A]ny records, reports, or other information *of a licensed or certified health-care facility* that are part of a quality management program designed to identify, evaluate, and reduce the risk of patient or resident injury associated with care or to improve the quality of patient care shall be confidential information . . . [and] *shall not be subject to subpoena or discoverable or admissible as evidence in any civil or administrative proceeding* . . .

C.R.S. § 25-3-109(3), (4) (emphasis added).

The General Assembly has expressly recognized these privileges as forming the foundation of the professional and peer review processes by allowing for candid internal review and analysis of patient care:

The general assembly hereby finds and declares that the implementation of quality management functions to evaluate and improve patient and resident care *is essential* to the operation of health-care facilities licensed or certified by the department of public health and environment pursuant to section 25-1.5-103(1)(a). For this purpose, *it is necessary* that the collection of information and data by such licensed or certified health-care facilities be reasonably unfettered so a complete and thorough evaluation and improvement of the quality of patient and resident care can be accomplished.

C.R.S. § 25-3-109(1) (emphasis added); *see also* C.R.S. § 12-30-205 (“The quality and appropriateness of patient care rendered by [licensed healthcare providers] *so influence the total quality of patient care* that a review of care provided in a hospital is ineffective without concomitantly reviewing the overall competence of, professional conduct of, or the quality and appropriateness of care rendered by these persons.”) (emphasis added).

The Colorado Supreme Court has also recognized the important role of peer review in ensuring high-quality care in holding that the Medical Practice Act “protects the records of a professional review committee from all forms of subpoena or discovery.” *Colorado Med. Bd. v. Office of Admin. Courts*, 2014 CO 51, ¶ 7. The court reasoned that state legislatures across the country, including in Colorado, “provide for confidentiality of professional review committee proceedings and records in order to ensure that committee members are able to openly, honestly, and objectively study and review the conduct of their peers. *Id.* at ¶ 13.

Various other Colorado statutes provide privileges or protections for healthcare providers’ professional review records and communications. *See, e.g.*, Medical Practice Act, C.R.S. § 12-240-125(9), *et seq.* (protecting medical board investigations of healthcare professionals consistent with the terms of the Colorado Professional Review Act); *see also* Health-Care Facilities Consumer Information Reporting Statute, C.R.S. § 25-1-124 (requiring licensed healthcare facilities to report information regarding certain adverse incidents to CDPHE to compile data to

facilitate consumer choice in medical care and protecting such reports from disclosure or subpoena).

Notably, the statutes recognizing these privileges consider internal professional review records to be records “of” the healthcare provider, not personal records of the patient. C.R.S. § 12-30-204(11)(a) (privileging the records “*of an* authorized entity, its professional review committee, and its governing board”) (emphasis added); C.R.S. § 25-3-109(3), (4) (privileging “records, reports, or other information *of a*” healthcare facility).

Further, *none of these privileges prevents discovery or access to the original source patient records* regarding their treatment, from which patients contemplating litigation or their attorneys can perform an evaluation of the quality of care. This is true even if the original source records are used in the professional review process. *See, e.g.*, C.R.S. § 12-30-204 (providing that “original source documents are not protected from subpoena, discovery, or use in any civil action merely because they were considered by or presented to a professional review committee”).

Here, Initiative #274’s definition of “adverse medical incident” includes “those incidents that are . . . reviewed by any health-care institution . . . through a peer review, risk management, quality assurance, quality management . . . or similar committee”, and thus the measure is squarely aimed at providing access to internal peer review records that have long been privileged under state law. Proposed C.R.S. § 25-1-804(2)(d). Similarly, the definition of “adverse medical incident” also includes “information or documents reported to or reviewed by any representative of any [professional review] committee” established and authorized by the Colorado Professional Review Act at C.R.S. § 12-30-201 *et seq.* Thus, the measure provides access to professional review records of state-sanctioned professional review committees, thereby eliminating the professional review privileges that exist under Colorado law. *See also* Proposed C.R.S. § 25-1-804(2)(e) (expanding the definition of “medical record” to include “any medical records and draft records pertaining to any treatment by any licensed health-care professional”).

Peer and professional review privileges were not included in the exceptions Proponents added to Initiative #274. Proponents also stated on the record at the initial hearing that their intent is to eliminate these privileges with Initiative #274. April 18 hearing audio at 1:07:45.² Accordingly, this critical change to Colorado law is not a speculative “effect” and must be considered by the Board as part of its single subject inquiry.

The stated central purpose of Initiative #274 is to expand a patient’s “right” to their own medical records and information. That is misleading, particularly given that patients already have the ability to access their personal medical records under existing laws, such as HIPAA. *See* 45 CFR § 164.524(a)(1). In fact, Initiative #274 has nothing to do with protecting patients, but instead has everything to do with the incongruous purpose of eliminating the longstanding right of healthcare providers to conduct internal, privileged, *post-care* evaluations of patient care. Eliminating these privileges will harm patients across the state as evidenced by the General Assembly’s myriad declarations that the professional review process—*including its associated privileges*—are integral to providing quality healthcare in Colorado.

² Available at https://csos.granicus.com/player/clip/451?view_id=1&redirect=true.

When Initiative #274's paragraph-long provisions and definitions are stripped down to plain sight, it's easy to see why Proponents are trying to hide its fundamental changes within a deceptively titled "patient rights" measure. Proponents could not pass a standalone measure eliminating all professional review privileges because voters would be able to understand such a measure and would reject it. This type of flagrant logrolling is barred by the single subject requirement.

Therefore, where, like here, a measure purports to do one thing, but separately eliminates rights or duties under existing law, the measure violates the single subject requirement. *See, e.g., In re Title, Ballot Title and Submission Clause for 2003-04 #32 and #33*, 76 p.3d 460, 462 (Colo. 2003) (reversing single subject finding where measures altered the petitioning process and also separately excluded all lawyers from participating in the title setting process); *In re Title, Ballot Title, & Submission Clause for Initiative 2015-16 #132 and #133*, 2016 CO 55 (finding a redistricting measure had a second subject because "coiled in the folds" of the measure were changes that impacted the duties of the Supreme Court nominating commission).

If Proponents want to eliminate all peer and professional review privileges to the detriment of healthcare patients in Colorado, they need to do so in a standalone measure that is comprehensible to the average voter.

C. Modifying the Candor Act Privilege Is a Second Subject.

Similar to the body of law protecting professional review, the 2019 Colorado Candor Act allows healthcare providers to have candid "open discussion communications" with patients who have suffered an "adverse health-care incident". The Candor Act encourages healthcare providers and patients to have open discussions in an effort to fairly and effectively resolve past adverse incidents short of litigation and to prevent such incidents from happening again. *See* C.R.S. §§ 25-51-103(4).

While Initiative #274 creates an exception for "documents, statement, or communications **created during or occurring during an initiated open discussion**" under the Candor Act (Proposed C.R.S. §§ 25-1-804(2)(d)-(g) (emphasis added), the measure still fundamentally changes the Candor privilege. Under existing law, communications, documents, and **work product** that are "**prepared for**, or submitted in the course of or in connection with" Candor open discussion communications are privileged. C.R.S. § 25-51-102(4)(a)(I); C.R.S. § 25-51-105(1)(b) (emphasis added). Candor work product will no longer be privileged and any documents and communications "prepared for" open discussion communications (as opposed to during) will no longer be privileged. These communications would include, for example, internal dialogue between healthcare professionals in preparation for Candor open discussions. Eliminating specific aspects of the Candor Act has no connection to Initiative #274's purported patient-protection theme.

D. Overriding the Physician-Patient Privilege Is a Separate Subject.

As part of the professional review and quality assurance processes, healthcare providers typically collect records and information of similar adverse medical incidents as an important component in understanding risks and trends. In fact, CDPHE regulations require "quality

management programs” for licensed health facilities, which include the review of negative patient outcomes, errors, and potential for errors reported by staff. 6 CCR 1011-1:2-4.1 (privileging reports created as part of a quality management program at 4.1.5).

Yet, even after such records are compiled into any collective report or memorandum, they would fall within the broad scope of Initiative #274 and be subject to any single patient’s “right” to access those records. Nothing in the definitions of “medical record”, “medical information”, or “medical communication” limits these terms to be patient-specific, and, like Initiative #149, Initiative #274 makes no exception for records otherwise protected by the physician-patient privilege.

In requiring the production of records that are not patient specific, Initiative #274 overrides the physician-patient privilege codified at C.R.S. § 13-90-107(1)(d). This privilege was “adopted to achieve the purpose of placing a patient in a position in which he or she would be more inclined to make a full disclosure to the doctor and to prevent the patient from being humiliated and embarrassed by disclosure of information about the patient by his or her doctor.” *Cmty. Hosp. Ass’n v. Dist. Court In & For Boulder Cnty.*, 570 P.2d 243, 244 (Colo. 1977).

Thus, for example, Patient/Voter A would be surprised to learn that Initiative #274 requires the disclosure of her medical information to Patient/Voter B in contravention of the physician-patient privilege. Requiring such disclosure is not rationally related to the purported purpose of expanding patient “rights”, and thus constitutes a separate subject.

III. The Title Is Unfair, Inaccurate, and Incomplete.

Ballot titles must clearly express a measure’s single subject. Colo. Const. art. V, § 1; C.R.S. § 1-40-106.5. Titles must also:

allow voters, whether or not they are familiar with the subject matter of a particular proposal, to determine intelligently whether to support or oppose the proposal. Thus, in setting a title, the title board shall consider the public confusion that might be caused by misleading titles and shall, whenever practicable, avoid titles for which the general understanding of the effect of a ‘yes/for’ or ‘no/against’ vote will be unclear.

Matter of Title, Ballot Title & Submission Clause for 2015-2016 #73, 2016 CO 24, ¶ 22.

Here, the Title set for Initiative #274 highlights and exacerbates the problem with setting a ballot title for a measure that has multiple, distinct purposes hidden with its folds. The title reads:

A change to the Colorado Revised Statutes concerning expanding the right of a patient to access information related to an alleged adverse medical incident, and, in connection therewith, expanding patient access to medical records, information, or communications made or received by a physician, other licensed health-care professional, or health-care institution, including staff, management, board of directors, or a quality management committee about an act or omission that caused injury or death of the patient and

excluding certain information that is privileged or confidential under Colorado or federal law.

As constructed, the title inaccurately makes it sound as if: patients have an existing right to access records that have never been considered a patient's in the first place; this so-called right has been suppressed; and therefore this right must be expanded.

To avoid this misleading characterization, the word "expanding" should be stricken in both places in the title, which is consistent with what the Title Board did with Initiative 2023-2024 #228. Proponents of Initiative #274 are incorrect that Initiative #228 does not create access to any records that patients do not already have, and it is not appropriate for this board to weigh in on the extent to which one measure expands access versus the other. Inserting the word "expanding" in one measure but not the other would be significantly and unfairly prejudicial if both measures get on the ballot.

Additionally, the title ends with the notion that Initiative #274 protects certain "privileged or confidential information under Colorado or federal law" when the measure actually eliminates or overrides critical privileges, including peer review and quality management privileges, the physician-patient privilege, and the Candor Act privilege. The only way for the measure to be accurate on this point is to identify those privileges that are being eliminated or changed. Otherwise, the title misleadingly insinuates that these privileges are being preserved and protected.

Thus, the title must reflect that disclosure is required even if records, information, and communications are privileged or confidential under various state laws providing for peer and professional review. Additionally, the title must reflect the change to the Candor Act privilege. Finally, the Title must reflect that disclosure is required even if records, information, and communications include information related to other patients that is protected by the physician-patient privilege. The Board need "not engage in the prediction of doubtful future effects to reach [the] conclusion" that the measure will eliminate or change these privileges, and therefore these critical aspects of the measure must be addressed in the title. *In re Ballot Titles 2001-2002 #21 & #22 ("English Language Education")*, 44 P.3d 213 (Colo. 2002).

WHEREFORE, Movant respectfully requests that the Title Board reverse the title setting for Initiative #274 because it violates the single subject requirement, or, alternatively, correct the deficiencies with the Title.

Dated: April 24, 2024

Respectfully submitted,

s/ Benjamin J. Larson

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CERTIFICATE OF SERVICE

I hereby affirm that a true and accurate copy of the foregoing **MOTION FOR REHEARING ON INITIATIVE 2023-2024 #274** was sent this 24th day of April, 2024, via first class U.S. mail, postage pre-paid or email to:

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Legislative Council Staff

Nonpartisan Services for Colorado's Legislature

Fiscal Summary

Date: April 12, 2024 **Fiscal Analyst:** Kristine McLaughlin (303-866-4776)

LCS TITLE: DISCLOSURE OF ADVERSE MEDICAL INCIDENTS

Fiscal Summary of Initiative 274

This fiscal summary, prepared by the nonpartisan Director of Research of the Legislative Council, contains a preliminary assessment of the measure's fiscal impact. A full fiscal impact statement for this initiative is or will be available at leg.colorado.gov/bluebook. This fiscal summary identifies the following impact.

State revenue. To the extent that there are more civil filings with the trial courts related to the documents disclosed under the measure, fee revenue to the Judicial Department may increase.

State expenditures. By placing additional disclosure requirements on health care facilities, the measure may require additional enforcement activity by the Department of Public Health and Environment, which regulates health care facilities, as well as increase costs for state agencies that operate health care facilities, including the Department of Human Services, the Department of Corrections, and public institutions of higher education. These agencies may require additional staff and legal services, provided by the Department of Law, to comply with the measure and respond to any resulting complaints. Additionally, if the measure drives an increase in costs to non-state facilities, state departments that make payments to these facilities, like the Department of Health Care Policy and Financing, may have increased services costs. Finally, if the measure results in increased litigation either as a result of non-compliance with the measure or as a result of the disclosed documents, workload may increase in the Judicial Department.

Local government impact. Similar to the state impacts above, the measure may increase workload and costs for local government agencies that operate or make payments to health care facilities and for any district attorneys involved in resulting court cases.

Economic impacts. The economic impacts of the measure are unknown, as they depend on future actions and decision-making, primarily by patients. If the measure's disclosure requirements impact the delivery of health care services, health and future economic outcomes for patients may change.